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# Clinically actionable mutation profiles in patients with cancer identified by whole-genome sequencing

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**Ontology terms:** colon cancer; cutaneous leiomyosarcoma; endometrial carcinoma; neoplasm of the breast; pharyngeal neoplasm; prostate cancer

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**Abstract** Next-generation sequencing (NGS) efforts have established catalogs of mutations relevant to cancer development. However, the clinical utility of this information remains largely unexplored. Here, we present the results of the first eight patients recruited into a clinical whole-genome sequencing (WGS) program in the United Kingdom. We performed PCR-free WGS of fresh frozen tumors and germline DNA at 75× and 30×, respectively, using the HiSeq2500 HTv4. Subtracted tumor VCFs and paired germlines were subjected to comprehensive analysis of coding and noncoding regions, integration of germline with somatically acquired variants, and global mutation signatures and pathway analyses. Results were classified into tiers and presented to a multidisciplinary tumor board. WGS results helped to clarify an uncertain histopathological diagnosis in one case, led to informed or supported prognosis in two cases, leading to de-escalation of therapy in one, and indicated potential treatments in all eight. Overall 26 different tier 1 potentially clinically actionable findings were identified using WGS compared with six SNVs/indels using routine targeted NGS. These initial results demonstrate the potential of WGS to inform future diagnosis, prognosis, and treatment choice in cancer and justify the systematic evaluation of the clinical utility of WGS in larger cohorts of patients with cancer.

[Supplemental material is available for this article.]

## INTRODUCTION

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Recent international research programs have provided a comprehensive catalog of the genomic landscape of cancer and provided insights into the temporal and spatial heterogeneity of tumors. These studies used high-throughput next-generation sequencing technology (HTS) to reveal single-nucleotide variants (SNVs) and small indels (Pleasance et al. 2010a,b; Banerji et al. 2012; The Cancer Genome Atlas Network 2012; Curtis et al. 2012; Ellis et al. 2012; Shah et al. 2012; Stephens et al. 2012) in protein-coding regions that comprise <1% of the human genome. The clinical utility and cost-effectiveness of targeted next-generation sequencing (TGS) cancer panel testing to detect somatically acquired single gene mutations is now established in specific disease areas, such as lung and melanoma (Hamblin et al. 2017), and are illustrated by the efficacy of a number of therapeutics targeting the protein products of specific genes that are altered in human cancer. Molecular alterations have also been shown to have predictive and/or prognostic implications (Amado et al. 2008; Parsons et al. 2008).

However, the breadth and significance of various mutation types across multiple genes affecting biological pathways relevant to cancer and their potential clinical significance are largely unexplored. Whole-genome sequencing (WGS) can provide a comprehensive assessment of the mutational spectra of cancers across the entire genome. Those that may be of particular clinical relevance can be divided into five broad, evidence-based areas of analysis.

The first comprises mutations in untranslated, intronic, and intergenic regions. Common inherited variants conferring susceptibility to human disease are found frequently in noncoding regulatory or intronic regions. The possibility that similar mechanisms operate somatically in cancer was highlighted by the discovery of potentially targetable somatic driver substitutions in the *TERT* gene promoter (Huang et al. 2013; Vinagre et al. 2013; Khurana et al. 2016; Smith et al. 2016) and more recently in *NOTCH1* splice region and a *PAX5* enhancer (Puente et al. 2015).

The second area (Lin et al. 2013) addresses complex types of mutations such as copy-number aberrations (CNAs) and translocations that have not been integrated systematically with SNV analyses and linked to clinical outcome or response to therapy such as CN losses and/or SNVs involving the *TP53* locus at 17p13.1 in chronic lymphocytic leukemia (Zenz et al. 2010; Dreger et al. 2013; te Raa et al. 2013) and translocations (Manolov and Manolova 1972; Rowley 1973; Dalla-Favera et al. 1982; Larson et al. 1984; Shtivelman et al. 1985; Drazan et al. 1987; Parker and Zhang 2013).

The third set of analyses includes the comprehensive investigation of molecular pathways such as DNA damage response (DDR) pathways. All cells rely on multiple DDR pathways specialized in the repair of specific forms of DNA damage. Genes involved in these DDR pathways are among the most frequently mutated genes in cancer. Whereas a defect in a single DDR pathway is compatible with cell viability, a combination of defects in two DDR pathways leads to cell death, a concept known as synthetic lethality. Synthetic lethality can be induced by small-molecule drugs, and exploitation of a tumor's defective DDR pathway has been shown to be an effective therapeutic strategy. For example, synthetic lethality of *BRCA1/2* mutations causing defective homologous recombination repair (HRR) is induced by cisplatin or PARP inhibition and has been confirmed in clinical trials (Fong et al. 2009; Fong et al. 2010; Ledermann et al. 2012).

Comprehensive analysis of combinations of constitutional and/or somatically acquired base substitution, indels, rearrangements, and CN changes across all genes involved in HRR-based DNA double-strand break repair may yield better predictors of responsiveness to drugs targeting this pathway than *BRCA1/2* mutations or promoter methylation alone

(Waddell et al. 2015). Constitutional or somatically acquired biallelic mutations in a number of genes associated with DDR have been defined recently and evaluated prospectively in clinical trials (Mateo et al. 2015; Pritchard et al. 2016). In particular, somatic and germline mutations in *ATM*, *ATR*, *PTEN*, *PALB2*, *RAD51C*, *RAD50*, *TP53*, *CHEK2*, *BRIP1*, *FANCA*, *HDAC2*, *MLH3*, *ERCC3*, *MRE11*, and *NBN* have been associated with synthetic lethality after treatment with PARP inhibitors and other emerging potential therapeutics (Riabinska et al. 2013; Dietlein et al. 2014; Mateo et al. 2015; Kristeleit et al. 2016). Furthermore, in addition to HRR and MMR, other DDR key pathways are now being targeted in the clinic (Pritchard et al. 2016; Stover et al. 2016). The fourth area involves investigating global measures such as the absolute mutational burden and mutation signatures. These measures are not routinely investigated in the clinical setting yet but can point to particular subtypes of cancer with defective mismatch repair that are associated with favorable prognosis (Tan et al. 2008; Alexandrov et al. 2013a,b; Maccaroni et al. 2015; Nik-Zainal et al. 2016) and may benefit from immune checkpoint inhibition (Heemskerk et al. 2013; Chabanon et al. 2016). Recent studies have demonstrated a direct relationship between exonic mutational burden, durable sensitivity to PD-1 and CTLA-4 blockade, and overall survival (Santin et al. 2015; McGranahan et al. 2016; Strickland et al. 2016).

The fifth and final approach includes the systematic analysis of targetable germline variants and integration with somatic variant calling in all patients with cancer rather than limiting analysis to patients with a Mendelian pattern of inheritance and early onset. This avenue is important as (1) most targeted clinical cancer sequencing does not include analysis of the germline and (2) the “first hit” of cancer pathogenesis might be present in the germline and will be subtracted during routine whole-exome sequencing (WES) or WGS tumor bioinformatics analysis, so that biallelic mutations, such as those in DDR pathways described above, will be missed.

Although WES can identify many of the mutation types described above, not all known cancer driver genes are captured by this technique and identification of complex rearrangements and copy-number abnormalities, particularly those involving noncoding regions, may not be detected. WGS allows the robust detection of all mutation types including complex somatically acquired changes. Although the feasibility of WGS in the clinical management of cancer patients has been described previously (Laskin et al. 2015), there are currently no published studies evaluating the utility of WGS in the clinical management of cancer patients beyond the detection of clinically actionable single gene variants. The aim of our study was to utilize WGS to comprehensively profile affected molecular pathways and global mutation signatures that would pinpoint clinically actionable events in patients with advanced cancers.

## RESULTS

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### Overview

This study describes the clinically actionable mutations arising from WGS of the first eight consecutive patients recruited to a clinical genome sequencing program in the UK. The clinical characteristics of each case are described in Table 1 and the corresponding histology images are shown in Figure 1.

A summary of the total number of somatic SNVs, CNAs, and SVs and a breakdown of these variants by the tier 1,2,3 classification system for each of the cases (1–8) is shown in Supplemental Table S1. These results show that the total number of somatic mutations per case is very variable, with two cases (Cases 5 and 7) demonstrating a hypermutated genotype as described below.

**Table 1.** Clinical characteristics of patients referred for whole-genome sequencing

Case	Sex	Age	Presentation	Pathological diagnosis	TNM/Stage	Distant metastasis site	Surgical management	Medical therapy	Response to therapy	Tissue for WGS	Tumor content estimates from pathology (bioinformatics)	Status January 2017
1	Male	67	Thigh lesion	Metastatic prostate adenocarcinoma	cT3 cN1 cM1 pTX pNX pM1	Left thigh	Nonsurgical management of	Bicalutamide April to Nov 2014 radiotherapy 20 Gy 5 fractions completed Nov 2014, androgen blockade	Not known	FF biopsy	50% (80%)	Deceased
2	Male	57	Neck mass	Metastatic (lymph node) squamous cell carcinoma, primary base of tongue non-keratinizing squamous cell carcinoma, oropharyngeal type	cT4 cN2 cM0 pTX pN X pMX	None	No surgery	Radical chemoradiotherapy 65 Gy in 30 fractions completed 05/08/2016. Cisplatin (stopped because of tinnitus). Concomitant cetuximab	Not known	FF biopsy	70% (80%)	Living
3	Male	42	Chest wall mass, widespread lymphadenopathy and bony disease	Metastatic carcinoma (breast or sweat gland origin)	cTX cN1(skin)/cN3 (breast) pTX pNX pM1	Chest wall	No primary identified, skin lesion excised?	Six cycles ECX-residual disease. Eribulin two cycles with rapid progression. Stable on paclitaxel and bicalutamide. Planned for taselisib (p13Ka inhibitor) and palbociclib (CDK4/6 inhibitor) if progresses further.	Stable	FF biopsy	50% (80%)	Deceased
4	Female	63	Iliac fossa pain, radiologically confirmed acystadenofibroma of right ovary	Colorectal adenocarcinoma (appendiceal orifice)	cTX cNX cM1 pT4 pN2 pM1	Ovaries and peritoneum	Right hemicolectomy, total hysterectomy and bilateral salpingo-oophorectomy	Hyperthermic intraperitoneal chemotherapy, mitomycin C and then oxaliplatin and capecitabine chemotherapy	Not known	FF resection	95% (80%)	Living
5	Female	55	Postmenopausal bleeding	Endometrial endometrioid carcinoma	Clinically FIGO II, pathologically FIGO IA	None	Total hysterectomy and bilateral salpingo-oophorectomy	Vaginal brachytherapy	Response to adjuvant therapy	FF resection	80% (80%)	Living
6	Male	63	Chest wall lesion	Metastatic prostate adenocarcinoma (Gleason 4+4 on previous prostate biopsy)	cT3 cN1 cM1 pTX pNX pM1	Widespread bony sites	No surgery	Hormone therapy, docetaxel, etoposide, and carboplatin 6 cycles	Progressive disease	FF biopsy	50% (95%)	Living

(Continued on next page.)

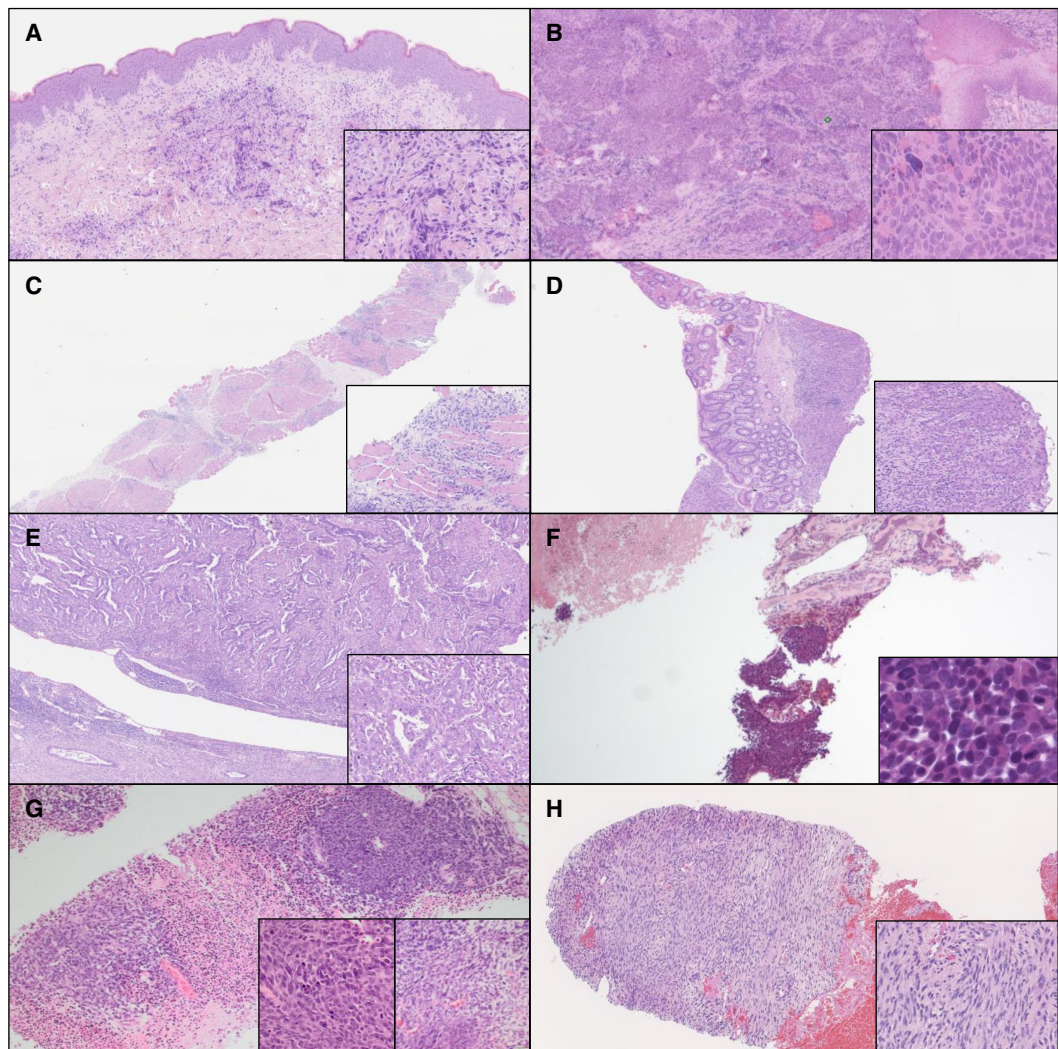
**Table 1.** (Continued)

Case	Sex	Age	Presentation	Pathological diagnosis	TNM/Stage	Distant metastasis site	Surgical management	Medical therapy	Response to therapy	Tissue for WGS	Tumor content estimates from pathology (bioinformatics)	Status January 2017
7	Male	42	Thigh lesion, previous sarcoma of right axilla	Metastatic sarcoma, undifferentiated	N/A	N/A	N/A	N/A	N/A	FF biopsy	90% (60%)	Deceased
8	Male	7	Soft tissue mass with subluxation at C4/C5 vertebrae	Spindle cell tumor in keeping with a low-grade sarcoma	N/A	None	None yet	Awaiting treatment	N/A	FF biopsy	95% (60%)	Living

Baseline characteristics of patients, prior therapy, overall response, final outcome and preanalytical sample characteristics are presented.

TNM, tumor, node, metastasis staging system; FF, fresh frozen; N/A, not applicable.





**Figure 1.** Histology of each tumor sequenced. Histology images of tumors resected or biopsied from Cases 1–8; sections were obtained from formalin-fixed, paraffin-embedded tissue stained with hematoxylin and eosin (H&E). A–E and H were scanned at  $\times 200$ , F and G were photographed at  $\times 100$  and  $\times 40$ , respectively. (A) Case 1: Skin biopsy showing infiltration of the dermis (center) by a poorly defined tumor. (Inset) High magnification showing poorly differentiated carcinoma cells. (B) Case 2: Oral biopsy showing normal area of oral mucosa (upper right) with infiltration of the subepithelial tissue by tumor. (Inset) High magnification demonstrating a squamous cell carcinoma morphology with a lack of keratinization. (C) Case 3: Chest wall core biopsy showing skeletal muscle with widespread tumor invasion. (Inset) High magnification showing poorly differentiated carcinoma cells dissecting muscle bundles and infiltrating surrounding tissue. (D) Case 4: Cecal biopsy showing normal columnar epithelium (upper left) and an area of tumor (right). (Inset) High magnification showing poorly differentiated adenocarcinoma. (E) Case 5: Endometrial resection tissue demonstrating a large polypoid mass filling the uterine cavity with showing glandular and papillary areas. Background endometrium below. (Inset) High magnification showing the mixed appearance of the tumor. (F) Case 6: Rib biopsy at low magnification showing partially necrotic bone and cartilage (upper left) and cartilage infiltrated by a high grade tumor (central). (Inset) High magnification demonstrating neuroendocrine (small cell) differentiation. (G) Case 7: Left thigh core biopsy at low magnification showing inflamed and necrotic tissue with islands of poorly differentiated tumor. (Inset, left) High magnification demonstrating the dominant epithelioid appearance of the tumor. (Inset, right) High magnification of a spindle cell component also present. (H) Case 8: Cervical vertebral tumor biopsy at low magnification showing a highly cellular and poorly differentiated tumor. (Inset) High magnification showing the spindle cell morphology.

The actionable variants and the corresponding clinical implications are described in detail for Cases 1–8 below and summarized in Tables 2 and 3, respectively. It was particularly noticeable that the SNVs and CNAs in DDR genes provided future treatment options for four cases (Fig. 2).

As expected, the mutation burden was found to be higher in introns than in exons (Supplemental Fig. 1). The somatic mutations derived from the WGS data allowed mutational signatures to be classified (Supplemental Table S2; Alexandrov et al. 2013a) and helped to identify the mutational processes operative in each patient (Fig. 3).

The majority of clinically actionable variants were somatic. Targeted in silico germline analysis of a predefined list of cancer predisposition genes (Supplemental Table S3) led to identification of multiple germline variants of uncertain significance (data not shown). One germline variant in *RAD51B* (Case 4) contributed to a homozygous impairment of a DDR locus and was therefore considered clinically actionable.

One anticipated advantage of using WGS is the ability to identify a spectrum of variants affecting noncoding regions. We used ENCODE databases (Supplemental Table S4) to annotate variants in regulatory regions (Supplemental Table S5), although none was considered to be clinically actionable on the basis of current knowledge.

The results for each patient are described in more detail below.

### CASE 1 (Prostate Cancer)

A 67-yr-old man with a known history of prostate cancer was referred with an unusual cutaneous tumor of the left thigh (Table 1). The histology of this lesion showed a dermal infiltrate of poorly differentiated carcinoma cells (Fig. 1A) that demonstrated polyclonal prostate-specific antigen (PSA) and prostate-specific acid phosphatase (PSAP) expression by immunohistochemistry (IHC). CDX2, cytokeratin (CK) 7, and CK20 markers were negative. These findings were consistent with a prostatic origin.

The metastatic skin lesion was incompletely excised and the patient received bicalutamide from April to November 2014 combined with radiotherapy of 20 Gy in five fractions. Conventional androgen blockade commenced in January 2015. He received docetaxel chemotherapy from June to November 2015 and enzalutamide from January to February 2016.

**Table 2.** Summary of clinically actionable variants

Case	Cancer type	Tier	Gene	Mutation type	VAF (%)	Somatic/germline mutation	Location	DNA change	Protein change
1	Prostate	1	<i>SETD2</i>	SNV	23.33	S	Chr 3:47129738	NM_014159.6: c.5143-1 G>A	
			<i>PTEN</i>	CNA	–	S	Chr 10:89590587–90376982	Loss	N/A
			<i>TP53</i>	SNV	36.89	S	Chr 17:7577106	NM_000546.5: c.832C>A	NP_000537.3: p.Pro278Thr
		2	<i>BRCA2</i>	cnLOH	–	S	Chr 17:0–13533148	Allelic imbalance	
				cnLOH	–	S	Chr 13:18351244–115169878	Allelic imbalance	N/A
2	Oral	1	<i>TP53</i>	None	–	N/A	N/A	N/A	N/A
			<i>EGFR</i>	None	–	N/A	N/A	N/A	N/A
		2	Multiple	CNA	–	S	Complex molecular karyotype	N/A	N/A
			<i>DDX3X</i>	SNV	47.06	S	Chr X:41198295	NM_001356.3: c.107_108ins GC	NP_001347.3: p.Tyr38 AlafsTer 7
3	Breast/skin	1	<i>PIK3CA</i>	SNV	14.49	S	Chr 3:178936091	NM_006218.2: c.1633G>C	NP_006209.2: p.Glu545Gln

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**Table 2.** (Continued)

Case	Cancer type	Tier	Gene	Mutation type	VAF (%)	Somatic/germline mutation	Location	DNA change	Protein change
4	Colorectal	1	TP53	Indel	22.32	S	Chr 17:7578211	NM_000546.5: c.638G>A	NP_000537.3: p.Arg213Gln
				Indel	11.76	S	Chr 17:7577085	NM_000546.5: c.853G>A	NP_000537.3: p.Glu285Lys N/A
			BRCA1	cnLOH	–	S	Chr 17:1–81195210	Allelic imbalance	N/A
				cnLOH	–	S	Chr 17:1–81195210	Allelic imbalance	N/A
				cnLOH	–	S	Chr 17:1–81195210	Allelic imbalance	N/A
				cnLOH	–	S	Chr 17:1–81195210	Allelic imbalance	N/A
				cnLOH	–	S	Chr 17:1–81195210	Allelic imbalance	N/A
				CNA	–	S	Chr 16:46497599–90354753	Loss	N/A
			ARID1A	SNV	24.36	S	Chr 1:27101198	NM_006015.4: c.4480C>T	NP_006006.3.3: p.Gln1494Ter
			RAD51B	SNV	28.57	GL	Chr 14:69061259	NM_133509.3.1: c.1094C>G	NP_598193.2: p.Pro365Arg N/A
				CNA	–	S	Chr 14:68290373–69279891	Loss	N/A
			TP53	CNA	–	S	Chr 17:6934163–8217978	Loss	N/A
		2	HDAC2	CNA	–	S	Chr 6:112939290–132327952	Loss	N/A
			SMAD4	SNV	8.20	S	Chr 18:48604788	NM_005359.5: c.1610A>G	NP_005350.1: p.Asp537Gly
			NFE2L2	SNV	7.52	S	Chr 2:178098954	NM_006164.4: c.91G>A	NP_6155.2: p.Gly31Arg
5	Endometrial	1	TP53	None	–	S	N/A	N/A	N/A
			POLE	SNV	34.07	S	Chr 12:133253184	NM_006231.2: c.857C>G	NP_006222.2: p.Pro286Arg
				SNV	37.50	S	Chr 12:133252045	NM_006231.2: c.1165T>G	NP_006222.2: p.Phe389Val
			Multiple	SNV	–	S	Tumor Mutation Burden	Hypermutated phenotype	N/A
		2	PTEN	CNA	–	S	Chr 10:85557432–105804295	Loss	N/A
6	Prostate	1	CDKN2A	CNA	–	S	Chr 9:10320113–26205565	Loss	N/A
			TP53	SNV	58.82	S	Chr 17:7573975	NM_000546.5: c.1044_1051	NP_000537.3: p.Glu349GlyfsTer30
				CNA	–	S	Chr 17:7506837–7671804	delGGAAGCTCA	N/A
		2	BRCA2	CNA	–	S	Chr 13:32178877–33860144	Loss (homozygous)	N/A
			FANCA	CNA	–	S	Chr 16:46455960–90354753	Loss	N/A
			ERCC3	CNA	–	S	Chr 2:104172062–168223828	Loss	N/A
7	Sarcoma	1	NRAS	SNV	96.49	S	Chr 1:115256530	NM_002524.4: c.181 C>A	NP_002515.1: p.Gln61Lys
			CDKN2A	CNA	–	S	Chr 9:21879074–22096083	Loss (homozygous)	N/A
			PTEN	CNA	–	S	Chr 10:42347406–135534747	Loss	N/A
			Multiple	SNV	–	S	Tumor Mutation Burden	Hypermutated phenotype	N/A
		2	NF1	CNA	–	S	Chr 17:25248166–30645676	Loss	N/A
			Multiple	CNA	–	S	Complex molecular karyotype	N/A	N/A
8	Soft Tissue	1	CDKN2A	CNA	–	S	Chr 9:21939408–22706613	Loss (homozygous)	N/A
			PTEN	CNA	–	S	Chr 10:1–135534747	Loss	N/A
			TSC1	CNA	–	S	Chr 9:135377559–141213431	Loss	N/A
		2	BRCA2	CNA	–	S	Chr 13:24080918–3436,992	Loss	N/A

Details of the tier 1 and tier 2 clinically actionable variants identified in Cases 1–8 are presented. The term allelic imbalance was ascribed when the BAF plots clearly revealed an acquired event, but interpretation of the Log<sub>2</sub>R plot was challenging (e.g., cnLOH vs. CN Loss). Such events were classified as “not clinically actionable.” For cases with a hypermutated genotype (Cases 5 and 7) only clearly actionable SNVs were included.

SNV, single-nucleotide variant; indel, insertion/deletion; CNA, copy-number aberration; cnLOH, copy neutral loss of heterozygosity; SV, structural variant; S, somatic; GL, germline.

**Table 3.** Clinical significance of actionable variants

Case	Cancer type	Tier	Gene	Mutation Type	Somatic/germline mutation	Pathway	Clinical significance of mutation	Potential clinical trials	MDT decision/Impact on clinical management
1	Prostate	1	<i>SETD2</i>	SNV	S	Chromatin remodelling	Therapy (WEE inhibitors)	NCT01748825 (solid tumors) NCT02341456 (solid tumors) NCT02585973 (head and neck cancer)	Patient died before WGS completed, but PARP/WEE inhibitors may have been an option.
			<i>PTEN</i>	CNA	S	PTEN-AKT1-mTOR pathway	Poor prognosis, therapy (mTOR, PARP inhibitors)	NCT02145559 (solid tumors) NCT02465060 (NCI match)	
			<i>TP53</i>	SNV cnLOH	S S	DDR DDR	Poor prognosis, therapy (PARP inhibitors)	NCT02098343 (ovarian cancer)	
		2	<i>BRCA2</i>	cnLOH	S	DDR	Therapy (PARP inhibitors)	NCT03040791 (DDR-impaired prostate cancer) NCT03012321 (DDR-impaired prostate cancer)	
2	Oral	1	<i>TP53</i>	None	N/A	N/A	Therapy	N/A	HPV +ve indicative of good prognosis. Standard of care was applied. Lack of DDR signature (please see text) and EGFR mutations supported cessation of cisplatin and commencement of cetuximab, respectively.
			<i>EGFR</i>	None	N/A	N/A			
			Multiple	CNA	S	Multiple	Pathological classification	N/A	
		2	<i>DDX3X</i>	SNV	S	RNA metabolism	Pathological classification, therapy (histone methylation)	N/A	
3	Breast/Skin	1	<i>PIK3CA</i>	SNV	S	PIK3CA signaling	Therapy (PI3K inhibitors)	NCT02389842 (breast and solid tumors) NCT01226316 (breast and solid tumors) NCT02437318 (breast cancer) NCT02423603 (breast cancer) NCT01872260 (breast cancer) NCT02088684 (breast cancer) NCT02465060 (NCI match)	Standard of care was applied. Treatment with PI3K or PARP inhibitors proposed in event of disease progression. Patient died rapidly because of disease progression before such therapies initiated.
			<i>TP53</i>	SNV	S	DDR	Poor prognosis, therapy (PARP inhibitors)	NCT02098343 (ovarian cancer)	
				SNV	S	DDR		NCT01074970 (breast cancer)	
				cnLOH	S	DDR			
		2	<i>BRCA1</i>	cnLOH	S	DDR	Therapy (PARP inhibitors)		
			<i>BRIP1</i>	cnLOH	S	DDR			
			<i>RAD51C</i>	cnLOH	S	DDR			
			<i>RAD51D</i>	cnLOH	S	DDR			

(Continued on next page.)

**Table 3.** (Continued)

Case	Cancer type	Tier	Gene	Mutation Type	Somatic/germline mutation	Pathway	Clinical significance of mutation	Potential clinical trials	MDT decision/Impact on clinical management
4	Colorectal	1	<i>FANCA</i>	CNA	S	DDR	Therapy (PARP inhibitors)	NCT03012321 (Prostate cancer)	Standard of care was applied. Excellent response to platinum therapy. PARP inhibition considered as an option at relapse.
			<i>ARID1A</i>	SNV	S	Chromatin remodelling	Therapy (Dasatinib; AKT inhibitor; EZH2 inhibitor)	NCT02059265 (Ovarian cancer) NCT02576444 (solid tumors)	
			<i>RAD51B</i>	SNV CNA	GL S	DDR DDR	Therapy (PARP inhibitors)	NCT02484404 (solid tumors) NCT00576654 (solid tumors) NCT02921256 (rectal cancer)	
			<i>TP53</i>	CNA	S	DDR	Poor prognosis, therapy (PARP inhibitors)	NCT02098343 (ovarian cancer)	
		2	<i>HDAC2</i>	CNA	S	DDR	Therapy (PARP inhibitors)	NCT03012321 (prostate cancer)	
			<i>SMAD4</i>	SNV	S	TGF- $\beta$ signaling	Biological mechanism	N/A	
			<i>NFE2L2</i>	SNV	S	Antioxidant metabolism	Biological mechanism	N/A	
5	Endometrial	1	<i>TP53</i>	None	S	DDR	Pathological classification	N/A	Results from WGS clarified histopathological classification, confirming an endometrioid rather than serous tumor type with good prognosis. Patient declined chemotherapy on basis of confirmatory prognostic information from WGS. Option of checkpoint inhibitors in event of disease progression.
			<i>POLE</i>	SNV	S	Polymerase proofreading Polymerase proofreading	Therapy (anti-PDL1)	NCT02912572 (endometrial cancer)	
			Multiple	SNV	S	Multiple	Therapy (anti-PDL1)	NCT02912572 (endometrial cancer)	
6	Prostate	1	<i>PTEN</i>	CNA	S	PTEN-AKT1-mTOR pathway	Poor prognosis, therapy (mTOR inhibitors)	NCT02145559 (solid tumors) NCT02465060 (NCI Match)	Commenced treatment with PARP inhibitor rucaparib 24 months ago following results from WGS. Still alive. Clinical trial of anti-PD1 inhibitor might also be considered.
			<i>CDKN2A</i>	CNA	S	Cell cycle regulator of P53	Therapy (Aurora /VEGF inhibitors)	NCT02478320 (solid tumors)	
			<i>TP53</i>	SNV CNA	S S	DDR DDR	Poor prognosis, therapy (PARP inhibitors)	NCT03040791 (DDR-impaired prostate cancer) NCT03012321 (DDR-impaired prostate cancer)	
			<i>BRCA2</i>	CNA	S	DDR	Therapy (PARP inhibitors)	NCT03040791 (DDR-impaired prostate cancer) NCT03012321	

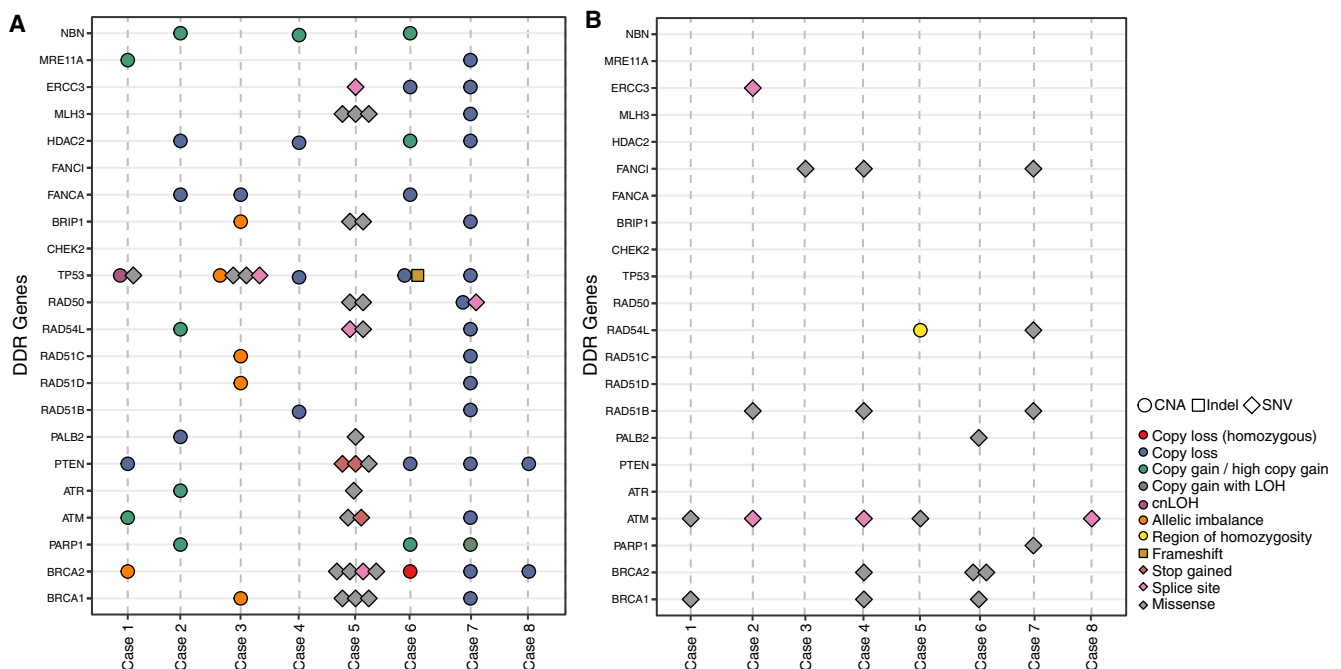
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**Table 3.** (Continued)

Case	Cancer type	Tier	Gene	Mutation Type	Somatic/germline mutation	Pathway	Clinical significance of mutation	Potential clinical trials	MDT decision/Impact on clinical management
		2	FANCA	CNA	S	DDR	Therapy (PARP inhibitors)	(DDR-impaired prostate cancer) NCT03040791 (DDR-impaired prostate cancer) NCT03012321 (DDR-impaired prostate cancer)	
			ERCC3	CNA	S	DDR	Therapy (PARP inhibitors)	(DDR-impaired prostate cancer) NCT03040791 (DDR-impaired prostate cancer) NCT03012321 (DDR-impaired prostate cancer)	
7	Sarcoma	1	NRAS	SNV	S	RAS pathway	Therapy	(Solid tumors and myeloma) NCT01449058 (Solid tumors and hematology) NCT01763164 (melanoma) NCT02465060 (NCI match) NCT02478320 (solid tumors)	Patient died before WGS completed. Px had shown lack of response to anti-NCT02407509 EGFR antibody therapy MEK inhibitors.
			CDKN2A	CNA	S	Cell cycle regulator of P53	Therapy (Aurora/VEGF inhibitors)	NCT02145559 (solid tumors) NCT02465060 (NCI match)	
			PTEN	CNA	S	PTEN-AKT1-mTOR pathway	Therapy (mTOR inhibitors)	NCT02145559 (solid tumors) NCT02465060 (NCI match)	
			Multiple	SNV	S	Multiple	Therapy (anti-PDL1)	NCT02912572 (endometrial cancer)	
			NF1	CNA	S	RAS pathway	Therapy (MEK inhibitor)	NCT02465060 (NCI match)	
		2	Multiple	CNA	S	Multiple	Poor prognosis	N/A	
8	Soft tissue	1	CDKN2A	CNA	S	Cell cycle regulator of P53	Therapy (Aurora/VEGF inhibitors)	NCT02478320 (solid tumors)	MDT recommendation of mTOR inhibition declined by clinician.
			PTEN	CNA	S	PTEN-AKT1-mTOR pathway	Therapy (mTOR inhibitors)	NCT02145559	Patient being prepared for surgical resection on disease progression.
			TSC1	CNA	S	PTEN-AKT1-mTOR pathway	Therapy (mTOR inhibitors PARP inhibitors)	NCT02201212 (solid tumors) NCT02352844 (solid tumors) NCT02576444 (solid tumors)	
		2	BRCA2	CNA	S	DDR	Therapy (PARP inhibitors)	NCT02465060 (NCI match)	

The potential clinical implications of the tier 1 and tier 2 variants described in Table 2 are shown, including impact of the variant on diagnosis, prognosis, potential treatment, or clinical trials for which the patient might be eligible. Specifically, for defects in the DDR pathway, only inferred homozygous mutations were classified as clinically actionable (highlighted by shaded background).

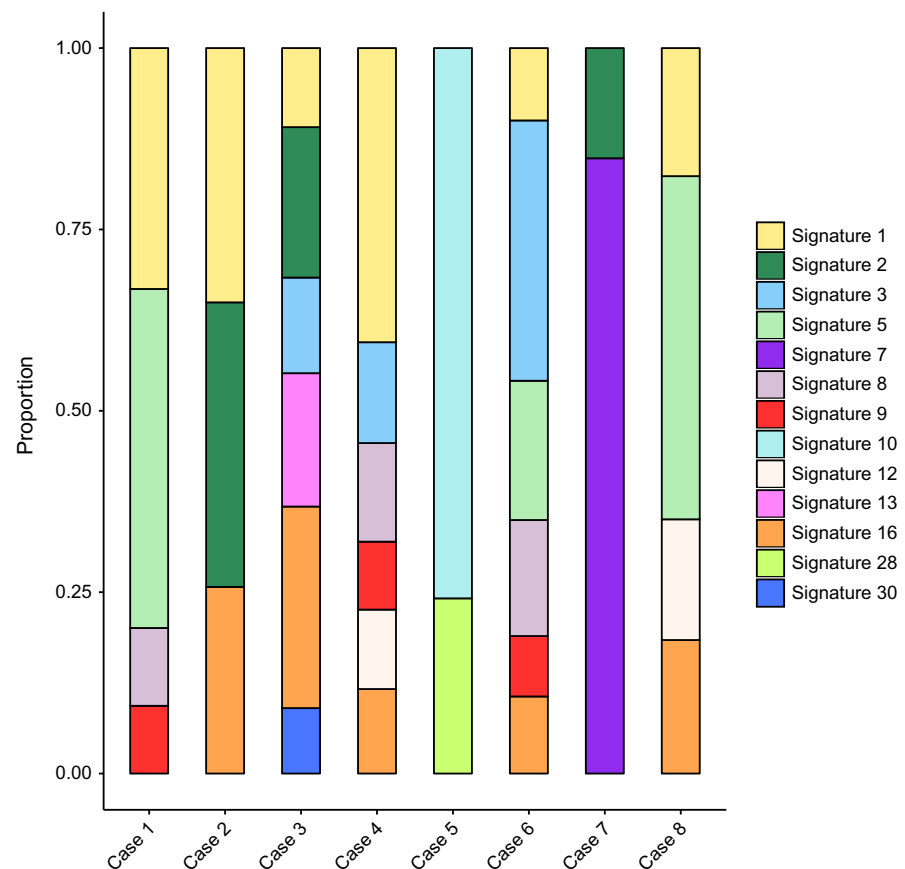
SNV, single-nucleotide variant; CNA, copy number aberration; cNLOH, copy neutral loss of heterozygosity; SV, structural variant; DDR, DNA damage response; S, somatic; GL, germline.



**Figure 2.** Identification of somatic and germline variants in genes from the DNA damage response (DDR) pathway from Cases 1–8. Graphical representation of the variants identified by WGS in 22 DDR pathway genes in cancer Cases 1–8. A and B show somatic and germline variants, respectively, for each cancer case. Each bar or track on the horizontal axis represents a different cancer case and the 22 individual DDR genes are represented on the vertical axis. CNAs, indels, and SNVs are represented by circles, squares, and diamonds, respectively. The color key indicates more detail about the type of variant (e.g., a red circle represents a homozygous copy-number loss). Only disruptive somatic or germline SNVs and indels are included, whereas for germline variants (B) only SNVs with minor allele frequency of <6% are included. Case 1 includes a somatic missense SNV and a cnLOH region involving *TP53*, as well as copy-number loss of *PTEN* and allelic imbalance of *BRCA2*. Case 2 presents copy-number aberrations overlapping various DDR genes, but no SNVs, indels, or copy-number events involving *TP53*. Case 3 includes *TP53* mutations, an allelic imbalance involving *TP53*, *BRCA1*, *BRIP1*, *RAD51C*, and *RAD51D*, and a single loss involving *FANCA*. In Case 4, WGS detected a germline deleterious SNV in *RAD51B*, together with a somatic CN loss in the same locus. Case 5 includes somatic mutations in various DDR genes but no copy-number aberrations, whereas *RAD54L* and *ATM* in the germline are affected by a region of homozygosity and a missense mutation, respectively. Case 6 has a frameshift mutation and a CN loss involving *TP53* and, importantly, a somatic homozygous CN loss encompassing *BRCA2*. In Case 7, most DDR genes are affected by a somatic CN loss or gain, whereas the germline includes missense mutations in *FANCI*, *RAD54L*, *RAD51B*, and *PARP1*. Finally, in Case 8, *PTEN* and *BRCA2* are affected by somatic CN losses, and *ATM* includes a splice site mutation in the germline. See the main text for more details.

TGS of DNA derived from the metastasis showed a variant in *TP53* (c.832C>A, p.Pro278Thr), indicative of poor prognosis. WGS of paired tumor and germline DNA confirmed the somatic tier 1 SNV in *TP53* and additionally revealed a cnLOH region of Chromosome 17 (17p13.3-p12) involving *TP53*, as well as copy-number loss of *PTEN* and allelic imbalance of *BRCA2* (Table 2; Fig. 2), all genes involved in the DDR. These events presented with allelic imbalance, which was most likely explained by germline contamination as the tumor content for biopsied tissue was estimated as 50% (Table 2; Supplemental Fig. S2). Mutation signature 5 associated with all cancer types (Alexandrov et al. 2013a) was the dominant signature from WGS data (Fig. 3). WGS also showed a *SETD2* (tier 1) variant (c.5143-1G>A) (Table 2). Unfortunately, the patient died of progressive disease before the results of WGS were available.





**Figure 3.** The proportion of mutation signatures in Cases 1–8. Mutation signatures were obtained from the COSMIC mutation signatures consensus database (<http://cancer.sanger.ac.uk/COSMIC/signatures>), which were derived using published methods developed by Alexandrov et al. (2013a). Signatures represent genomic SNV distribution in a trinucleotide context. The contributions of each COSMIC signature found in the data were calculated using the deconstructSigs package (Rosenthal et al. 2016) in R. Stacked bars represent the proportion of each mutation signature found in each case across the whole genome, where all signatures found per case sum to 1. Mutation signature 1 is the most ubiquitous and represents aging. Signature 2: AID/APOBEC association. Signature 3: *BRC1/2*-associated and DNA double-strand break repair defect. Signature 4: smoking. Signature 5: unknown etiology. Signature 6: DNA mismatch repair defect. Signature 7: UV exposure. Signature 8: unknown. Signature 9: polymerase-associated mutation pattern. Signature 10: associated with altered *POLE* activity. Signature 11: alkylating agents. Signature 12: unknown. Signature 13: AID/APOBEC association. Signature 14: unknown. Signature 15: DNA mismatch repair defect. Signatures 16, 17, 18, 19: unknown. Signature 20: DNA mismatch repair defect. Signature 21: unknown. Signature 22: exposure to aristolochic acid. Signature 23: Unknown. Signature 24: exposure to aflatoxin. Signature 25: unknown. Signature 26: DNA mismatch repair defect. Signature 27,28: unknown. Signature 29: tobacco chewing. Signature 30: unknown. More details of signatures are described in Supplemental Table S2.

### CASE 2 (Head and Neck Cancer)

A 57-yr-old man presented with a large, left-sided base of tongue tumor and lymphadenopathy (Table 1). Biopsy from the oropharyngeal lesion revealed a nonkeratinizing squamous cell carcinoma (Fig. 1B). The tumor demonstrated positive staining with p16 IHC tests, in keeping with human papilloma virus (HPV) etiology and associated with a good prognosis for oropharyngeal cancer (Langendijk and Psyrri 2010).

SNV and CNA analyses of WGS data did not show any somatically acquired clinically actionable mutations (Table 2). The genome exhibited a complex karyotype, a mutation in

DDX3X, and absence of TP53 mutations, all in keeping with HPV-positive squamous cell carcinoma (Seiwert et al. 2015). We confirmed the presence of HPV-derived sequences in the whole-genome data and identified the viral subtype (Table 3; [Supplemental Materials and Methods](#)). Presence of mutation signature 2 is consistent with the viral etiology of the tumor (Fig. 3).

The patient started radical chemoradiotherapy at 65 Gy in 30 fractions in August 2016. Following one cycle of cisplatin, he developed intractable tinnitus. Cisplatin was stopped, a decision supported by the absence of a DDR mutation signature and good prognosis features, and the patient was started on concomitant cetuximab (Table 3). Reassuringly, WGS confirmed that there were no EGFR resistance mutations to this drug. Positron-emission and computed tomography (PET-CT) imaging at 3 mo indicated no residual disease.

In this case, WGS did not demonstrate any actionable mutations. However, it confirmed the presence of HPV, which, combined with absence of TP53 mutations, indicated a good prognosis for this patient. The absence of biallelic mutations in DDR genes or in the known cancer predisposition gene EGFR assisted in managing drug side effects and in confirming the choice of an alternative targeted therapy (Iida et al. 2014; Tajima and Koda 2015).

### CASE 3 (Breast Cancer and Melanoma)

A 42-yr-old man with a previous history of malignant melanoma presented with a left chest wall mass and widespread lymphadenopathy (Table 1). Combined PET-CT scanning revealed disseminated disease with bony involvement. Biopsies from the chest wall lesion and overlying skin revealed a malignant tumor with an epithelioid morphology infiltrating into skeletal muscle. A bone marrow trephine biopsy (Fig. 1C) also revealed marrow space involvement. The tumor cells were immune-reactive for nonspecific epithelial markers (CAM 5.2, AE1/AE3) as well as CK7, CK19, GATA3, and GCDPF, suggesting a breast origin. The tumor did not express CK20 or CDX2 (colorectal), PSA, RCC (renal), TTF1 (lung), or melanoma markers. The suspicion was that it was of breast origin but ductal carcinoma in situ (DCIS) could not be identified in the chest wall biopsies, and a sweat gland tumor could not be excluded. Estrogen receptor (ER), progesterone receptor (PR), and Her-2/neu (ErbB-2) hormone markers were also negative by IHC tests. Based on these findings, the differential diagnosis was either a triple-negative breast (ductal) carcinoma or a malignant skin adnexal tumor. The patient commenced treatment with a standard carcinoma of unknown primary (CUP) schedule with six cycles of ECX (epirubicin, cisplatin, capecitabine/Xeloda) and showed a good clinical and radiological response. However, 3 mo later, when there was evidence of progressive chest wall disease, the patient entered a Phase II clinical trial of immunotherapy against standard of care chemotherapy. He was randomized to the control arm and received eribulin, a potent mitotic inhibitor. His disease rapidly progressed, leading to a switch to paclitaxel and bicalutamide because of strong androgen receptor expression identified by IHC tests. He achieved a complete clinical and radiological remission in the known sites of disease within 3 mo.

Because TGS and WGS showed an activating PIK3CA mutation (c.1633C>G, p.Glu545Gln), the proposed management was to proceed with the PIK3CA inhibitors, tase- lisib or pictilisib, at disease progression (Turner et al. 2015). In addition, WGS revealed two different acquired pathogenic TP53 mutations and an allelic imbalance reflecting either a CN loss or cnLOH involving TP53, BRCA1, BRIP1, RAD51C, and RAD51D (Fig. 2A) and a single loss of FANCA indicating PARP inhibition as a potential therapeutic avenue. Consistent with this, we identified mutation signature 3 (Fig. 3).

Similar to Case 1, this case illustrates that WGS can reveal all types of mutations in the DDR pathway. The dominant mutation signatures in this patient were signatures 16 (unknown significance), 2, and 13, whereas the DDR signature was also present. A germline

deletion polymorphism involving *APOBEC3A* and *APOBEC3B* on Chromosome 22 is associated with the presence of large numbers of signature 2 and 13 mutations and with predisposition to breast cancer (Nik-Zainal et al. 2014), but this patient did not carry the germline polymorphism.

#### CASE 4 (Colorectal Cancer)

A 64-yr-old woman who presented with abdominal pain underwent excision of a radiologically nonsuspicious simple cyst of the right ovary (Table 1). At operation both ovaries were found to be infiltrated by solid tumor, there was a dilated right fallopian tube, and numerous widespread peritoneal deposits were observed. A bilateral salpingo-oophorectomy (BSO) was performed and the peritoneal lesions were biopsied. Histology of the ovaries and peritoneal lesions revealed a poorly differentiated carcinoma with areas of glandular and signet ring cell morphology, as well as focal neuroendocrine differentiation. The right fallopian tube was infiltrated by malignant cells and contained foci of endometriosis. The tumor cells were immune-reactive for carcinoembryonic antigen (CEA), CDX2, CK20, CK8/18, and CA19.9 IHC tests, suggesting a colorectal origin, and tested negative for markers of gynecological, breast, or other common tumors of epithelial origin (CK7, CA125, inhibin, p16, p53, WT1, PAX8, GCDPF, ER, and PR). Cytology of peritoneal washings also showed a malignant population of epithelial cells. The findings were consistent with a metastatic carcinoma of gastrointestinal (GI) origin. Endoscopic examination of the lower GI tract was carried out and revealed a tumor in the cecum at the appendiceal orifice. Biopsies from this area (Fig. 1D) revealed similar histological findings with tumor appearing to arise from overlying dysplastic mucosa. The overall findings were consistent with metastatic adenocarcinoma of colorectal origin. The patient underwent right hemicolectomy and hysterectomy. The surgery left her free of visible disease and she then opted for oxaliplatin-based adjuvant chemotherapy.

TGS did not reveal any clinically actionable mutations. WGS identified a tier 1 germline deleterious SNV in *RAD51B* together with an acquired CN loss of this locus that could be regarded as a second hit and is consistent with impairment of the DDR signaling pathway function (Fig. 2A,B). Supporting these findings, mutation signature 3 was clearly present in this tumor (Fig. 3). Furthermore, WGS showed a tier 1 nonsense mutation in *ARID1A* (c.4480C>T, p.Gln1494Ter). A recent study demonstrated synthetic lethality by targeting EZH2 histone methyltransferase activity in *ARID1A*-mutated tumors using a clinically applicable small molecule inhibitor (Bitler et al. 2015a), and a clinical trial for patients with mutations in *ARID1A* is now recruiting and others are planned (Bitler et al. 2015b) for which the patient could be eligible.

WGS also revealed tier 2 mutations in *HDAC2*, *NFE2L2*, and *SMAD4* that are likely to have biological and prognostic significance (Table 2) but are not clinically actionable.

Importantly, this case illustrates impairment of the DDR pathway because of a combination of a germline deleterious SNV with a second acquired hit affecting *RAD51B*. The patient may benefit from PARP-1 inhibition should she relapse after standard care. WGS, but not TGS, also revealed a tier 1 defect in the key chromatin-remodeling gene *ARID1A*, supporting eligibility to potentially efficacious therapy as part of a clinical trial.

#### CASE 5 (Endometrial Cancer)

A 55-yr-old woman presented with postmenopausal bleeding (Table 1). Ultrasound imaging of the pelvis revealed a uterine mass. Histopathological review of the biopsy suggested a grade 3 mixed endometrioid/serous endometrial carcinoma. Total laparoscopic hysterectomy and bilateral salpingo-oophorectomy demonstrated a polypoidal tumor in the uterine

cavity arising from the endometrium and extending to the lower segment. The results of histopathological analysis of the resected specimen (Fig. 1E) were similar to that of the biopsy. IHC tests were difficult to interpret; ER, PAX8, and vimentin showed positivity in some areas of the tumor (in keeping with endometrioid carcinoma) but occasional foci showed strong diffuse p16 staining (usually seen in serous carcinoma). PR (endometrioid) and WT1 (serous) markers were negative. The pattern of expression was therefore mixed (Kaspar and Crum 2015). This diagnostic uncertainty was highly clinically relevant, as uterine serous carcinoma is an aggressive tumor that is typically managed with intensive adjuvant therapy, whereas endometrioid histologies are associated with a more benign course. As uterine serous carcinoma is frequently associated with the presence of *TP53* mutations, molecular testing was performed to identify these and other variants useful in guiding management (SGO Clinical Practice Endometrial Cancer Working Group et al. 2014a,b). Interestingly, although neither TGS nor WGS detected any *TP53* mutation, WGS demonstrated a pathogenic mutation in the exonuclease domain of the replicative DNA polymerase *POLE* (c.857C>G, p.Pro286Arg) (Table 2), along with the characteristic ultramutated phenotype (Supplemental Fig. S1) and dominant mutational signature 10 this causes (Fig. 3; Prindle et al. 2010; Church et al. 2014; van Gool et al. 2015). Although unexpected, this result was not entirely surprising, as *POLE* mutations are often associated with high tumor grade and difficulty in pathological classification (Hussein et al. 2015; van Gool et al. 2018). Despite this association, endometrial cancers carrying *POLE* exonuclease domain mutations are recognized to have an excellent prognosis, possibly because they are more immunogenic than other cancers (Van Gool et al. 2015). In light of the molecular data, the initial pathological impression of an aggressive serous carcinoma was revised to a much more favorable prognosis (Tashiro et al. 1997; Bansal et al. 2009; Yemelyanova et al. 2011; Church et al. 2014; Stelloo et al. 2015). The patient elected to have post-operative brachytherapy only, and did not receive the adjuvant chemotherapy and external beam radiotherapy that is the standard of care for uterine serous carcinoma. This case illustrates that WGS results can assist with clinically relevant pathological differential diagnosis and can support de-escalation of therapy in line with the patient's choice (Table 3). Moreover, in the event of disease progression, *POLE* mutations may predict sensitivity to nivolumab or pembrolizumab (Santin et al. 2016).

#### CASE 6 (Prostate Cancer)

A 63-yr-old man presented with a PSA of 2900 µg/ml and was found to have a Gleason 4+4 prostatic adenocarcinoma on biopsy (Table 1). Clinical imaging revealed pulmonary and bony metastases. Despite androgen deprivation treatment, the patient progressed and there was no response to docetaxel or radiotherapy. A biopsy from a rib metastasis at this time showed neuroendocrine differentiation (Fig. 1F), and it was concluded that a small-cell carcinoma component had developed following treatment (Miyoshi et al. 2001; Lipianskaya et al. 2014; Nadal et al. 2014).

TGS performed on DNA obtained from the rib metastasis showed a pathogenic mutation in *TP53*. WGS confirmed the mutation and also showed a CN loss involving *TP53* and, importantly, an acquired homozygous CN loss encompassing *BRCA2* (Table 2; Fig. 2A). The patient had a dramatic clinical, radiological, and biochemical response following platinum-based chemotherapy (etoposide and carboplatin). At biochemical relapse, he obtained compassionate access to the PARP inhibitor rucaparib on the basis of the results from WGS showing a DDR pathway defect supported by the presence of mutation signature 3 (Fig. 3). He is alive 2 years following diagnosis of metastatic disease. WGS also showed loss of *PTEN* and *CDKN2A*. These offer further options for future therapeutic intervention with mTOR inhibitors and Aurora/VEGF inhibitors, respectively.

This case illustrates that WGS can reveal acquired alterations in DDR genes, including homozygous deletions and deleterious SNVs, leading to therapeutic intervention.

### CASE 7 (Sarcoma)

A 42-yr-old man presented with a soft tissue mass in the right axilla, and a biopsy of this showed a high-grade malignant tumor with a mostly epithelioid appearance (Fig. 1G) and extensive necrosis (Table 1). The initial diagnosis made by the referring center was that of a cancer of unknown primary, but the possibility of a dedifferentiated metastatic malignant melanoma or sarcoma was raised at the time. The patient commenced conventional chemotherapy. Following transfer of care to a specialist orthopedic center, histology review noted focal spindle cell areas and suggested a mesenchymal tumor origin. The tumor was immunoreactive for S100 (nerve sheath marker) and INI1, focally positive for desmin and myogenin, and in some areas around necrotic foci, HIF1- $\alpha$ -positive (seen in neurofibromatosis 1). The tumor cells did not express CD45 (hematological), Melan A or HMB45 (differentiated melanoma markers), CD117 (GIST, dermatofibroma, angiosarcoma, Ewing's sarcoma), or phosphor-MET. FISH for the SS18 fusion gene (synovial sarcoma) was negative. The overall histopathological findings were most consistent with a malignant peripheral nerve sheath tumor (MPNST), showing rhabdomyosarcomatous differentiation (Triton tumor) as shown by desmin and myogenin immunopositivity. The absence of expression of H3K27me3 was also supportive of a histological diagnosis of MPNST (Lee et al. 2014; Prieto-Granada et al. 2016). An epithelioid MPNST was considered but thought to be unlikely because of INI1 IHC positivity. Furthermore, no CNA or cnLOH regions involving *SMARCB1* were identified for this patient and no other inactivating mutations.

Shortly after initial presentation the patient developed metastatic disease in the left thigh. A biopsy showed similar histological findings and was subjected to WGS. TGS and WGS revealed a tier 1 mutation in *NRAS* (c.181C>A, p.Gln61Lys), which indicates lack of response to anti-EGFR antibody therapy or BRAF inhibition and points to MEK-inhibitor therapy. Similar to Case 5, WGS revealed a high number of SNVs/indels consistent with a hypermutator genotype (Supplemental Fig. S1); therefore, SNV analysis presented is limited to Tier 1 findings only.

No translocation events typical of sarcoma were identified. However, WGS showed a complex karyotype including an acquired homozygous CN loss of *CDKN2A* (Aurora/VEGF inhibitors), loss of *PTEN* (mTOR inhibitors), and a somatically acquired loss of *NF1*, again indicating potential response to MEK inhibition. *CDKN2A* loss is a common event in cancer, and there is preclinical evidence for efficacy of CDK4/6 inhibitors in tumors with *CDKN2A* loss (Gao et al. 2015; Elvin et al. 2017). Interestingly, in a phase 1 trial of abemaciclib, a selective CDK4 and 6 inhibitor, a patient with metastatic melanoma achieved a PR carrying similar molecular alterations (*NRAS* mutation and copy-number loss at the *INK4* locus) to Case 7 (Patnaik et al. 2016).

Surprisingly, the mutation signature strongly implied UV-light exposure (Fig. 3) as the underlying mutagenic mechanism, raising the possibility that the original suspected diagnosis of a dedifferentiated malignant melanoma was correct.

WGS of the germline of this patient revealed no mutation in *NF1*. Genes related to PRC2 components were also investigated. For *SUZ12*, Case 7 showed an acquired CN loss (Chr 17:25,248,166–30,645,676) and for both *EZH1* and *EZH2*, acquired subclonal CN losses were observed (Chr 7:98,693,981–159,138,663 and Chr 17:30,645,677–81,195,210, respectively). No CNAs or cnLOH events involving *EED* were identified. This made MPNST unlikely and highlights that the absence of H3K27me3 expression is not specific for this tumor type.

Shortly after submission of the sample for WGS, the patient developed widespread metastases and died. This case illustrates that even with extensive profiling, it is not always possible to provide a definite diagnosis.



### CASE 8 (Soft Tissue Tumor)

A 7-yr-old boy presented with neck pain due to a soft tissue mass causing subluxation at the C4/C5 vertebral level. Histology of the mass (Fig. 1H) showed a cellular spindle cell lesion with focal nuclear atypia (Table 1). The tumor cells were immune-reactive for smooth muscle actin, but other soft tissue markers were negative. The proliferation index by Ki67 was 5%, indicative of a low-grade lesion. FISH showed no evidence of common translocation events including *SS18*, *EWSR1*, and *MDM2*, and there was no amplification by real-time PCR for the sarcoma-associated fusion genes *ETV6-NTRK3* and *SS18-SSX1/2/4*. Taken together, these findings were not sufficient for a definitive diagnosis. The differential diagnosis included infantile myofibroma, myofibrosarcoma, and a low-grade spindle cell sarcoma. At the time of sequencing, histology and initial results of molecular genetic tests, taken together with imaging and clinical context, were consistent with a low-grade sarcoma, not otherwise specified (NOS). WGS analysis did not reveal any sarcoma-associated translocations. There were no clearly pathogenic SNVs, and the mutational burden of the tumor was low (Supplemental Fig. 1). There was an acquired homozygous loss of *CDKN2A* and heterozygous acquired losses of *PTEN*, *TSC1*, and *BRCA2* (Table 2). The Cancer Genomics MDT recommended mTOR inhibition with temsirolimus, PARP inhibition, or aurora/VEGF inhibitors as potential treatment options as there was no standard of care for this patient.

The patient was subsequently offered conventional empirical chemotherapy at the local center (doxorubicin, ifosfamide, etoposide) followed by surgical resection when imaging postchemotherapy showed marked tumor progression despite concerns regarding positive resection margins and associated morbidity and risks. This case highlights the reluctance to use information from WGS for clinical decision-making even in a palliative setting and in situations where there is no standard of care.

### WGS versus In Silico WES: Clinical Implications

In summary, WGS led to identification of clinically actionable SNVs and CNAs for each case. Although exome sequencing can theoretically identify many of these changes, there are limitations to this approach. To explore whether the same results could have been obtained from WES, we applied an in silico filter to our WGS data using the SureSelect target regions and compared results obtained by the two different methods.

All clinically actionable SNVs detected by WGS in the eight patients were also detected when applying the in silico SureSelect filter on our data. However, for seven of the genes with actionable mutations in our cohort, 14 exons were not covered (Supplemental Table S6). Moreover, the SureSelect panel did not include 152 genes listed in the COSMIC Cancer Driver Gene List (Supplemental Table S7), and a further 2038 exons from remaining COSMIC genes are also not included in the panel (Supplemental Table S8). Furthermore, it is now well established that WES results will depend on the efficacy of capture and may miss up to 20% of targets. Unfortunately, it is not possible to predict which exonic regions these might be and therefore we could not simulate this in our analysis (Bamshad et al. 2011; Wang et al. 2017). For WGS of each cancer case, the average coverage across the entire genome is shown in Supplemental Table S9 and the percentage of COSMIC genes covered at  $\times 75$ , averaged over the eight cancer cases in Supplemental Table S10.

For CNA and cnLOH events, we did not identify any differences that would affect the clinically actionable findings for the patients presented. However, we did identify events affecting noncoding regions that demonstrate the potential advantages of WGS over WES. These included (a) intergenic and intronic copy-number losses, (b) intergenic and intronic break-points, and (c) altered levels of allelic imbalance that would have been missed by WES (Supplemental Fig. S3).

For mutation analysis, we compared trinucleotide counts in our data to signatures from the COSMIC database (Supplemental Tables 11, 12 for WGS and WES, respectively). These signatures are based on whole-genome studies and are applicable to biological processes that affect the whole genome such as UV damage and smoking. Thus, the whole-genome data are more reflective of the true mutation signatures because we have more mutations and, therefore, more data to consider. We performed the signature analysis on regions defined by the SureSelect panel covering the whole exome and found that unless the samples contained an unusually large number of mutations (Cases 5 and 7) the signatures are not consistent with the WGS (Supplemental Fig. S4). We found that Case 5 is the only case with identical signatures in WGS and WES, though Cases 2 and 7 are similar.

## DISCUSSION

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To our knowledge, this is the first study to evaluate the potential clinical utility of WGS in management of a consecutive series of patients with advanced cancer of varying tissue origin. Strikingly, for the first eight patients recruited and described here, WGS led to the identification of clinically actionable changes in all eight cases. WGS results helped to clarify an uncertain histopathological diagnosis in one case (Case 5), raised the possibility of an alternative diagnosis in a second case (Case 7), informed or supported prognosis in two cases (Cases 2 and 5), directly informed treatment changes in three patients (Cases 2, 5, and 6), leading to the joint clinicians' and patients' decision to de-escalate therapy in one case (Case 2), and indicated potential treatment options in all eight cases. Overall 26 different tier 1 clinically actionable somatic findings were identified from WGS compared with six SNVs/indels using the routine TGS approach. These provide exemplars of all of the five different analysis approaches described in the Introduction.

In four patients (Cases 1, 3, 4, and 6) WGS revealed a likely biallelic DDR mutation signature that would have been missed by conventional targeted cancer panel approaches, as it was defined by integration of SNVs, indels, and copy-number losses and analysis of global mutation signatures. These were in very different tumor types (prostate, male breast, and colorectal), yet all would be amenable to pharmacological intervention. Indeed, one patient (Case 6) obtained compassionate access to a PARP inhibitor and remains in remission at 24 mo.

Mutation burden is an important indicator of prognosis and indicates patients suitable for treatment with checkpoint inhibitors. In our study, a patient with endometrial cancer (Case 5) showed ultramutation because of a somatic *POLE* exonuclease domain mutation, findings associated with excellent prognosis of endometrial cancers, and the patient therefore opted to decline chemotherapy, thereby avoiding the associated toxicity (van Gool et al. 2016). Treatment with checkpoint inhibitors remains an option for this patient should she show clinical signs of relapse.

In contrast to conventional TGS, WGS provides information on both somatic and germline variants. This is important, as the same genes may underpin acquired or inherited cancers. For example, we identified acquired copy-number changes in *BRCA1/2* that enabled synthetic lethal drugs to be used. Similarly, mutations in *POLE* were originally identified in inherited colorectal cancers but have since been recognized as somatic changes in both colorectal and endometrial cancers. Finally, Case 4 carried a deleterious germline variant in *RAD51B* and acquired loss of the other allele in the tumor. This information might be important for informing families of the genetic risk of cancer (Briggs and Tomlinson 2013) and also to pinpoint a homozygous impairment of the DDR pathway.

Several cancers are known to be associated with viruses (Martin and Gutkind 2008). In our series, Case 2 (oropharyngeal cancer) had an HPV etiology indicated by p16 immunohistochemistry (a surrogate marker for HPV infection), which indicates a better prognosis than

HPV-negative or smoking-related oral tumors (Duncan et al. 2013). IHC testing, however, has limitations, including a significant false-positive rate, and does not indicate viral subtype. WGS provided direct confirmation of the virus presence, as well as the subtype (Mahajan 2016). This is important as clinical trials relating to de-escalation of therapy in patients with good prognosis are underway. In addition to confirming the HPV status, WGS also informed the management of side effects leading to de-escalation and the choice of alternative therapy by confirming lack of DDR mutations and absence of *EGFR* mutations, which would have conferred resistance to cetuximab.

We did not find any clinically actionable mutations in noncoding regions or actionable translocations. Evidence of the clinical actionability of these types of events in solid tumors remains limited. For noncoding regions, mutations in the promoter of *TERT2* gene are well-described (Huang et al. 2013), but these did not occur in our cohort. Clinically actionable or recurrent translocations have been described in lung and prostate cancer but were absent in our patients. Interestingly, sarcomas are characterized by frequent translocation events. However, the patient in our cohort who was referred with a possible sarcoma diagnosis but was found to have a UV light signature also did not carry any sarcoma-associated translocations, further strengthening the possibility that the primary tumor was not a sarcoma.

The turnaround time of WGS for cancer patients is critical. Two of the patients (Cases 1 and 7) died before WGS could be completed. Referral of patients for WGS should be encouraged at earlier stages in the disease course. Our fastest turnaround time for the whole process (including pathological assessment, release of tissue blocks, sequencing, and analysis stages) was 3 wk.

In the United Kingdom's National Health Service (NHS), we were limited by the availability of established funded therapies. Two treatment regimens emerge from this study as being of particular importance: PARP inhibitors for patients with defects in the DDR pathway and PDL1 checkpoint inhibitors for cancers showing hypermutation. These were made available through clinical trials or compassionate use. Clearly, this limited pilot study does not allow any conclusions regarding improvement in clinical outcome of patients undergoing the precision medicine approach presented herein. The challenges of testing physicians' choice versus molecularly directed therapy in a randomized clinical trial setting highlighted by the SHIVA trial (Le Tourneau et al. 2015). Since then, major efforts using extensive targeted sequencing efforts systematically on thousands of patients with advanced cancer have demonstrated clinical utility in 35% of patients. Other similar studies have been initiated (Zehir et al. 2017).

Nonetheless, the results of this initial pilot study demonstrate that WGS can have an impact on informing diagnosis, prognosis, and potential treatment choice, including access to clinical trials, and justifies the systematic evaluation of the clinical utility of WGS in the management of patients with cancer in larger cohorts of patients.

## METHODS

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### Patients and Ethics

The first eight patients referred consecutively to the study are described (i.e., there was no preselection of cases). Patients were consented for analysis of tumor and constitutional DNA and feedback of somatic genetic testing results by a clinician. Feedback of clinically actionable germline variants was optional. Details of ethical approval are highlighted below.

### Sample Preparation and DNA Extraction

#### *Tumor Tissue Handling*

All patients underwent biopsy of primary and/or metastatic cancer to obtain fresh tissue for sequencing. Fresh tissue samples were collected either by the clinician at the time of biopsy

or from the resection specimen by the pathologist at dissection. Samples were snap-frozen in liquid nitrogen and an H&E frozen histology section was taken to confirm tissue content. Only samples with microscopically estimated tumor cell content of >40% were used for sequencing. Further formalin-fixed, paraffin-embedded (FFPE) tissue samples were collected for routine diagnostic histopathology, applying standard processing protocols such as H&E staining and IHC as appropriate. Frozen tissue was thawed rapidly for nucleic acid extraction. All samples underwent targeted sequencing using the 46 Gene Cancer Panel (as described in Hamblin et al. 2017) and WGS (methods described below and in Supplemental Information).

### DNA Extraction

Constitutional DNA was isolated from 1.5 ml peripheral blood using the QIASymphony DSP DNA Midi kit (QIAGEN), according to the manufacturer's protocol. Tumor DNA was extracted from fresh frozen tissue using the All Prep Mini DNA Extraction kit (QIAGEN), as described in the manufacturer's protocol.

### Whole-Genome Sequencing

Libraries of 350-bp fragments were generated from 1 µg sheared genomic DNA using the TruSeq PCR-Free library preparation kit (Illumina). Of note, 2 × 126 paired-end sequencing was performed using the HiSeq2500 HTv4 (Illumina). WGS was performed at a planned coverage of 30× for the constitutional DNA and of 75× for the tumor. The average coverage data across the entire genome per case, expressed as average number of reads, is shown in Supplemental Table 9 and the percentage of the genes covered at 75×, averaged over the eight tumor samples tested, in Supplemental Table 10.

### Data Analysis

For a detailed description of alignment to the human reference genome, SNV, and structural variant calling, calculations of absolute exonic and intronic mutation burden, identification of previously described mutation signatures (Alexandrov et al. 2013a), the calculations of COSMIC signatures (Rosenthal et al. 2016), annotations of coding and noncoding variants, and detection of viral sequences, please refer to the Supplemental Materials and Methods.

Briefly, analysis was performed using a bespoke, locked-down, and version-controlled bioinformatics pipeline according to the required specification for clinically accredited laboratories.

Paired-end alignment of sequencing data against the reference genome hg19 (GRCh 37) was performed using the Whole-Genome Sequencing Application v2.0, based on Isaac Alignment Tool, within BaseSpace (Illumina). Somatic single nucleotide (SNV) and insertion/deletion (InDel) variant calling analysis was performed using the Tumour-Normal Application v1.0, based on Strelka, within BaseSpace. Calls were annotated using VariantStudio v2 (Illumina), a software using variant effect predictor (VEP) v2.8, COSMIC v77 and 1000 Genomes (v3). In a second approach, data were analyzed using QIAGEN's Ingenuity Variant Analysis software (QIAGEN Redwood City).

For copy-number and zygosity detection and analyses, Log<sub>2</sub>R values were generated from paired and unpaired tumor and germline data and these, together with B-allele frequency (BAF) outputs, were analyzed and events flagged and visualized using Nexus Discovery Edition 7.5 (BioDiscovery, Inc., El Segundo, CA).

Translocation events were investigated using BreakDancer (v1.4.5). Analysis was limited to a set of cancer-specific genes, as previously defined (Huret et al. 2013).

Presence of HPV was determined by alignment of tumor sample reads using bwa (Li and Durbin 2009). HPV was confirmed and the HPV-35 subtype further classified by HPVDetector (Chandrani et al. 2015).

### In Silico Whole-Exome Analysis

To explore whether we would have obtained similar or identical results from WES to those obtained by WGS, we applied an in silico filter using the Agilent SureSelect exome panel. SNVs, indels, and CNVs were then analyzed as in the WGS analyses described above and in the main text.

### Interpretation of Pathogenicity and Clinical Impact

All SNVs, indels, CNAs, and copy-neutral regions of homozygosity (cnLOHs) were classified with respect to their pathogenicity and clinical actionability (Futreal et al. 2004) (see [Supplemental Information](#)), information relating to germline and somatic changes at the respective locus was integrated. Analysis of germline changes was limited to a predefined in silico targeted panel of genes ([Supplemental Table S3](#)).

Specifically for defects in the DDR pathway, only inferred homozygous mutations were classified as clinically actionable. Allelic imbalance was not clinically actionable. For cases with hypermutated genotype (Cases 5 and 7) only clearly actionable mutations were included. A number of different sources, including COSMIC Cancer Genes Census (v77), “My Cancer Genome” (<https://www.mycancergenome.org>), and ClinicalTrials.gov (<http://clinicaltrials.gov>) were used to determine whether genetic alterations were clinically relevant. Using this information, mutations were classified as tier 1, tier 2, or tier 3 (Li et al. 2017). Tier 1 variants were defined by strong prognostic or diagnostic relevance and/or clinically actionability based on the availability of either an FDA/EMA approved therapy or access to clinical trials relevant to the indication. Tier 2 variants were defined as those that might contribute to confirming the pathological diagnosis or have established biological relevance and/or those for which a clinical trial or approved therapy in a different tumor type was available. Tier 3 variants are those of unknown significance.

All variants showing annotations of potential clinical significance (tier 1 and 2 variants; see Table 2) were inspected manually using the integrative genomics viewer (IGV) (Robinson et al. 2011).

All results were fed back to clinicians in an integrated molecular and histopathological report overseen by the Genomics Tumour Board consisting of clinicians, senior clinical scientists, bioinformaticians, and histopathologists (Wagle et al. 2012; Dienstmann et al. 2014).

## ADDITIONAL INFORMATION

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### Data Deposition and Access

The tier 1 and tier 2 variants identified in this study have been submitted to ClinVar (<https://www.ncbi.nlm.nih.gov/clinvar/>) and can be found under accession numbers SCV000493830 PTEN Chr 10:85557432–105804295 CN Loss; SCV000493831 BRCA2 Chr 13:32178877–33860144 CN Loss (Homozygous); and SCV000493829 TP53 Chr 17:7573975 NM\_000546.5: c1044\_1051 delGGAAGTCA.

### Ethics Statement

Written informed consent was obtained in line with the Declaration of Helsinki and local research ethics committees following the procedures outlined by the Oxford Radcliffe Biobank (South Central-Berkshire B Research Ethics Committee [REC no: 14/SC/1165]).



### Author Contributions

A.S. and J.C.T. designed the study, interpreted results wrote the first draft, and edited the manuscript; H.D., S.J.L.K., T.M., P.A., D.V., K.R., E.M.K., M.M.P., and N.P. performed data analyses and contributed to Introduction, Methods, Results, and Discussion sections, R.C., A.H., A.P., M.P., K.A.S., Z.O., N.A., B.H., A.M.F., A.A., S.W., A.H., I.T., and D.C. contributed clinical and pathology data and assisted with the clinical interpretation of results.

### Competing Interest Statement

The authors have declared no competing interest.

### Referees

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